Rev 2/06 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application

MARK ANDREW ENGLUND ET AL.

Application No.

Filed

Herewith

Confirmation No.

For

METHOD OF OPTICAL DATA STORAGE

Examiner

Attorney's Docket

GRIF-002XX

TC Art Unit:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

By:

Charles L. Gagnebin III

Registration No. 25,467

Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is desired to cite for the record in this application the enclosed references listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[X] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached Information Disclosure Statement is being filed within three months of the filing date of the above identified national application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 of the above identified application. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.

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- [] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
 - [] a statement under 37 CFR § 1.97(e); or
 - [] the fee set forth in § 1.17(p).

PETITION UNDER 37 CFR § 1.97(d)

[] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the attached Information Disclosure Statement was known to any individual designated in 37 C.F.R. §

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1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Where the status of the application has changed, unknown to the applicant, such that the boxes checked are no longer applicable, the Commissioner is authorized to accept this submission with any additional fees required by that change charged to Deposit Account No. 23-0804.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made. The filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited herewith is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b), or that Applicant(s) is(are) unable to provide an affidavit under 37 CFR §1.131 swearing back of such information.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. § 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

These references were cited in an International Search Report (copy enclosed) which issued in International Application No. PCT/AU2004/001307 filed September 27, 2004; and in an International-Type Search Report (copy enclosed) which issued in priority Australian application No. 2003905247 filed September 25, 2003.

10/573428 IAPO Rec'd PCT/PTO-24 MAR 2005

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Filed: Herewith TC Art Unit:

Confirmation No.:

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

MARK ANDREW ENGLUND ET AL.

Charles/L/ Gagnebin III

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Date: March 24, 2006

CLG/mc/334105.1 Enclosure

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U.S. DEPARTMENT OF COMMERCE (REV. 05/03) PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO.		APPLICATION NO.				
				GRIF-002XX					
	INFORMATION DISCLOSURE CITATION								
(Use several sheets if necessary)									
				APPLICANT:					
				Mark Andrew Englund, et al. FILING DATE TC ART UNIT					
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U.S. PATENT DOCUMENTS									
EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION/	NAME		CLASS SUBCLASS		FILING DATE		
		ISSUE DATE							
	US 5,671,308	9-1997	Inoue et al.						
	US 2002/0186927	12-2002	Koo et al.			•			
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							YES	NO	
	GB 2372100	8-2002	Great Britain Great Britain Japan WIPO						
	GB 1082407	9-1967							
	JP 05-122156	5-1993							
	WO 2004/025344	3-2004							
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)									
EXAMINER	EXAMINER DATE CONSIDERED								
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and									

CLG/mc/334107.1